NGF Human Resources Management Resource Suite

April 2016



Ideas, frameworks and tools for HR professionals
The NGF Resource Suite for effective people management



### About the Nigeria Governors' Forum

The Nigeria Governors' Forum (NGF) is a coalition of the elected Governors of the country's 36 States. The NGF is a non-partisan association that seeks to promote unity, good governance, better understanding and cooperation among the States, and to ensure a healthy and beneficial relationship between the States and other tiers of government. NGF was established in 1999 following a multi-party conference of all 36 democratically elected State Governors.

The opinions expressed in this guide are those of the authors and do not necessarily represent the views of the Nigeria Governors' Forum

#### **Foreword**

The Nigeria Governors' Forum has been partnering with the Department for International Development through its Governance Programme – State Partnership for Accountability, Responsiveness and Capability to promote reforms across the 36 States in Nigeria. This has resulted in significant measurable improvements in the way State Governments do their business which has contributed immensely to development outcomes in Nigeria.

This partnership was borne out of a need to address developmental issues in States in a sustainable and holistic rather than ad-hoc manner in order to ensure effective use of States' resources in the provision of public goods and services that meet the citizens' needs, which would eventually lead to reduced poverty. To support State government efforts to deliver public goods and services and to improve the impact on the welfare of citizens, several 'How To Guides' in key governance areas of Policy and Strategy/ Monitoring and Evaluation, Public Financial Management and Human Resource Management have been developed based on the wealth of knowledge generated over the life span of SPARC and will be used by the NGF Secretariat to continue the process of reform in States.

The NGF encourages States to adopt the approaches documented in these guides which have worked in many States in order to replicate similar results and reap the benefits of better governance and improved development performance.

We therefore express our profound gratitude to DFID-SPARC for the support provided in the preparation and production of this guide. We also thank all other staff members who contributed in one way or another to its successful production.

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#### **Human Resources Management**

Professional HRM is needed to shape and facilitate the work of government so that when a state government sets its strategy, HRM can respond to build the capacity and manage the performance of civil servants to deliver the strategic objectives and services 'on the ground'. Change will not just happen. HRM is part of the means to make it happen. Strong 'people management' responds directly to the need for ministries, departments and agencies (MDAs) to become more focused on performance and accountability, and increases the chances of successful public service reform.

Professional HRM is a modern approach to people management which elevates HR officers from supportive administrators to professional strategists and advisers who are an integral part of the management team. It plays a key role in delivering a workforce that is fit for the future – not just for today. Modern HRM professionals understand the key drivers of government, the wider environment and the context in which their MDA operates. They systematically gather data and analyse trends, creating action plans, tracking progress and reviewing how HR influences the trends identified. They have a deep staff insight into skills, competencies, aspirations and needs.

#### Philosophy

The primary aim in developing this HRM Resource Suite is to make information on actual HRM concepts and practice widely available. The ideas, frameworks and tools contained here have been developed, piloted and successfully launched the DFID-funded State Partnership for Accountability, Responsiveness and Capability (SPARC) programme over the years, and it is intended that these materials will provide the reader with insights into the possibilities for modern HRM and encourage them to stimulate their own new initiatives.

#### What this Resource Suite is NOT

This Resource Suite is not intended as a catalogue of off-the-shelf HRM solutions. It is neither a description of the current HRM arrangements in Nigerian state governments nor a prescription for the future. While it outlines internationally recognised HR best practice it is not intended that these constructs or concepts represent the 'right' or 'best' way of introducing professional HRM in Nigeria. There is no 'one size fits all' HRM model. To be effective the model needs to be developed from the ground up by the very people who understand the context of their operating environment the best – the HR officers and senior managers working in the public service.

As such, the ideas, frameworks and tools contained here are designed for the reformminded and progressive reader to adopt, adapt and take forward as they see fit.

## How to Use the Resource Suite

These resources are not intended as a blueprint for cut and paste, or to be read cover to cover. Rather the matrix contains a series of materials that can 'be dipped into' and used according to the reader's own interests, reform agenda and appetite.

# Step 1 – Scan the HRM and Human Resources Development (HRD) Resource Matrix Roadmap

Begin by reviewing this introduction to see what the Resource Suite contains. Then identify your preferred starting point:

- Interested in understanding core HR theory and concepts and how these might benefit the organisation? Look at Section One
- Want to know how to design, modify or implement a new professional HRM model? Look at Section Two
- Want example tools and templates to adapt and use? Look at Section Three
- Need some prepared visual aids for training or briefing sessions? Look at Section Four.

#### Step 2 - Read or view the relevant resource in full

All of the documents listed in this Resource Suite are available electronically on the CD at the back of this booklet. The CD also includes PowerPoint presentations and Excel spreadsheets.

#### Step 3 – Use the material

The resources can be used in many different ways. You may simply use them as an aide memoire or private study guide, or share them formally or informally with colleagues. You may use them as a programme for analysing needs and planning HRM reforms. You may use the material as a training resource.

## How this Resource Suite is Organised

The Resource Suite is divided into four main sections.

Section One: HRM Concepts – These materials are designed to introduce the reader to core professional HRM concepts relevant to the public service.

Section Two: HRM Frameworks – These materials describe some of the key HRM processes and responsibilities in more detail for those interested in introducing modern HRM practices.

Section Three: HRM Practitioner Tools – These materials include guidance notes and templates for some of the most commonly used HRM procedures.

Section Four: HRM Training Resources – These materials include a number of PowerPoint presentations that can be adapted for use in training or briefing sessions.

The materials in each section are summarised below.

#### Section One: HRM Concepts

| Subsection Title |  | Brief Description  |
|------------------|--|--|
| 1A               | What is HRM?   | Explains HRM functions including planning, recruiting, training, appraising, disciplining, rewarding and developing the employee.      |
| 1B               | Human Resources Management or Personnel Administration?    | Explains the difference between the modern professional HRM approach to HR and the more traditional personnel administration approach. |
| 1C               | The Roles of Human Resources<br>Managers and Line Managers | Introduces the reader to some distinct and professional HRM roles. The key role of the line manager in HRM is also outlined.           |
| 1D               | Service-wide HRM and Individual MDAs                       | Provides an overview of the distinct HRM roles and responsibilities of the service-wide function and individual MDAs.                  |

#### Section Two: HRM Frameworks

| Subsection Title |   | Brief Description  |  |
|------------------|---|--|--|
| 2A               | Human Resources Management<br>Self-assessment Framework     | Provides a template and scoring system which can be used in the current status of HRM policies, systems and processes in state governments.    |  |
| 2B               | HR Policy Principles and Policies                           | Focuses on high level policy principles and explains what the development process might look like.   |  |
| 2C               | HR Structures   | Guide to decision making and 'how to' guide for thos considering establishing a professional HRM model.  |  |
| 2D               | HR Operational Procedures Reference Guide                   | Reference book that provides guidance on specific H procedures.  |  |
| 2E               | Workforce Planning  | Introduction to the establishment and workforce planning process.  |  |
| 2F               | Capacity Building and Human<br>Resources Development Policy | Basic introduction to capacity building and some key considerations for developing a Human Resources Development Policy.                       |  |
| 2G               | Building Readiness and<br>Managing HRM Reform               | Outline of example steps to build readiness for HR transformation and tips on managing the change process.                                     |  |
| 2H               | Ethical HRM: Questions and Case Studies                     | Provides some guidance and sample case studies to stimulate discussion on the ethical dilemmas that confront public service HRM practitioners. |  |

#### Section Three: HR Practitioner Tools

| Subsection Title |  | Brief Description   |  |  |
|------------------|--|---|--|--|
| ЗА               | HR Glossary  | Alphabetised glossary for quick reference.  |  |  |
| 3B               | Guide to Personnel Record<br>Keeping               | Provides guidance on the contents of, compilation of and maintenance of personnel records.  |  |  |
| 3C               | HR Database Part 1:<br>Instructions                | Template for basic personnel records to allow for more strategic HR activities and planning to be undertaken.   |  |  |
| 3D               | HR Database Part 2: Template                       |   |  |  |
| 3E               | Job Evaluation/Job<br>Classification               | Step-by-step guide to job evaluation and classification with some relevant tools.   |  |  |
| 3F               | Recruitment Plan Part 1:<br>Instructions           | A recruitment plan that can be used to track the statu  |  |  |
| 3G               | Recruitment Plan Part 2:<br>Template               | of recruitment exercises, monitor progress and record decisions.  |  |  |
| 3H               | Structured Interview Guidance and Sample Questions | Guidance on structured interviews and sample questions.   |  |  |
| 31               | Candidate Selection Summary<br>Score Sheet         | Example selection summary score sheets and structured interview questions.  |  |  |
| 3J               | Recruitment Summary and<br>Appointment Requisition | Used to confirm that the proposed candidate has the right skills and experience to fill the vacancy available and to demonstrate evidence of due process. |  |  |
| 3K               | Induction Checklist                                | Structured checklist of key induction activities to be carried out by the HR officer, line manager and new joiner in their first few months.              |  |  |
| 3L               | Deployment Template                                | Deployment template to facilitate the strategic movement of officers within and across the service.   |  |  |
| 3M               | Succession Planning Guidance and Templates         | Guide to succession planning, including a series of relevant templates.   |  |  |
| 3N               | Training and Development Guidance                  | Provides guidance and tips on training needs analysis, design, delivery and evaluation.   |  |  |

#### Section Three: HR Practitioner Tools CONTINUED...

| Subsection Title |   | Brief Description  |  |
|------------------|---|--|--|
| 30               | Performance Management                  | Step-by-step guide to performance planning and performance management.   |  |
| 3P               | Promotion and Advancement               | A checklist outlining policy and decision-making steps   |  |
| 3Q               | Absence Tracker Part 1:<br>Instructions | An absence tracker with detailed instructions for  |  |
| 3R               | Absence Tracker Part 2: Template        | monitoring sickness and annual leave.  |  |
| 3S               | Exit Interview Questionnaire            | Template and guidance on using exit interviews for departing officers.   |  |
| 3T               | Gender and Social Inclusion             | National Gender Equality Policy requirements. Gender and social inclusion self-assessment checklist and guidance on data disaggregation. |  |

#### Section Four: HRM Training Resources

| HR Training |  | Pow | PowerPoint Presentations   |  |
|-------------|--|-----|--|--|
| 4A          | Introduction to introductory HR best practice PowerPoint presentation materials for sensitisation purposes | 4B  | Overview of Key HRM Topics                                       |  |
|             |  | 4C  | Introduction to Professional HRM                                 |  |
|             |  | 4D  | Delivering Value Through HR – From Personnel to Professional HRM |  |
|             |  | 4E  | Workforce Planning   |  |
|             |  | 4F  | Recruitment, Selection and Placement                             |  |
|             |  | 4G  | Learning and Development   |  |
|             |  | 4H  | HRMIS, KPIs and Accountability                                   |  |
|             |  | 41  | Career Development   |  |
|             |  | 4J  | Reward Management - Driving Performance                          |  |
|             |  | 4K  | Employee Relations   |  |
|             |  | 41  | Staff Welfare  |  |







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